



CUSTOMER ACCOUNT INFORMATION

Date :

D	D	M	M	Y	Y	Y	Y
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Signed
 Photograph of
 First Applicant
 (For Company
 CEO/MD's
 Photograph)

Signed
 Photograph
 Joint Applicants

Please Complete all details in "CAPITAL" Letters and Put a Tick (✓) in appropriate box.
 All Communication shall be made only to the First Account Holder's correspondents Address.

Client Code:

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 BO ID:

1	2	0	6	3	5	0	0											
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Account Type : Individual Joint Corporate Account Category : Local NRB Foreign JointVenture
 Account Status : Cash Margin Special Remarks, if any :

PARTICULARS OF FIRST APPLICANT INFORMATION:

Name of the Account Holder :
 Father's/Husband's Name :
 Mother's Name :
 Date of Birth :

D	D	M	M	Y	Y	Y	Y
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 Sex : Male Female Occupation :
 Tel : Mobile : Fax : E-mail :
 Nationality : National ID :
 Passport No : Issue Place : Issue Date : Expiry Date :
 Present Address :
 Permanent Address :

IN CASE OF COMPANY :

Name of CEO/MD :
 Registration No : Date of Incorporation :

D	D	M	M	Y	Y	Y	Y
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 Country of Origin :
 TIN Number : Tel :
 Mobile : Fax : E-mail :
 Contact Address :

PARTICULARS OF JOINT APPLICANT INFORMATION:

Name of the Account Holder :
 Father's/Husband's Name :
 Mother's Name :
 Date of Birth :

D	D	M	M	Y	Y	Y	Y
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 Sex : Male Female Occupation :
 Tel : Mobile : Fax : E-mail :
 Nationality : National ID :
 Passport No..... Issue Place : Issue Date : Expiry Date :
 Present Address :
 Permanent Address :

BANK INFORMATION :

Bank Name : Branch Name : District Name :

Routing No. Account No. :

Bank Identifier Code (BIC) : SWIFT Code : International Bank A/CNo. (IBAN) :

Electronic Dividend Credit : Yes No Electronic Dividend Credit : Yes No TIN / Tax ID :

Whether the Customer(s) is/are an Officer or Director of any Stock Exchange/Listed Company? Yes No

If Yes, Name of the Stock Exchange/Listed Company :

Relationship :

Address :

In Case of Joint Account, the Account will be operated : 1st Applicant 2nd Applicant Jointly Any one can Operate

PARTICULARS OF INTRODUCER :

Name of the Introducer :

Father's/Husband's Name :

Mother's Name :

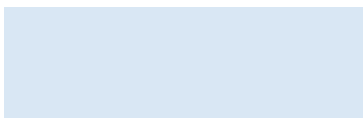
Sex : Male Female Nationality :

Mobile : National ID : E-mail :

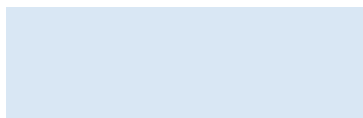
Present Address :

Internal Code No.: Introducer's BO ID : 1 2 0 6 3 5 0 0

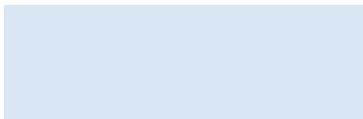
I certify that I have known for the last years
and confirm his/her/their signature, occupation, address and other particulars else where in the application.



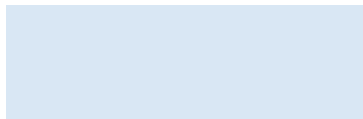
Signature & Date of the
Account Holder (1st Applicant)



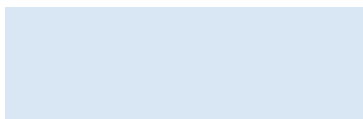
Signature & Date of the
Joint Account Holder (2nd Applicant)



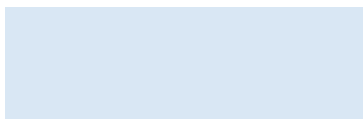
Signature & Date of the
3rd Signatory (Artificial Body)



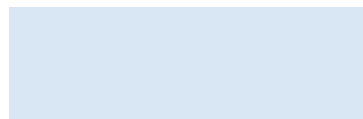
Signature & Date of the
Person Introducing the Customer

OFFICE USE ONLY :


Checked By



Recommended By



Approved By



TERMS AND CONDITIONS

'BROKER' shall mean DYNASTY SECURITIES LIMITED

'BUYER' shall mean the person or persons or company who intends to buy securities through the BROKER.

"SELLER" shall mean the person or persons or company who intends to sell his/her/their securities through the BROKER"

"Securities account" shall mean the account opened by the SELLER/BUYER with the BROKER to sell/buy securities.

"Securities Day" shall mean the days declared by the Stock Exchange, on which transactions carried out by the BROKER on behalf of the SELLER/BUYER at the Stock Exchange are settled/cleared with Stock Exchange.

'CDBL' shall mean Central Depository Bangladesh Limited was incorporated 20th August 2000 in Bangladesh.

SALE ORDER

The SELLER shall deliver to the BROKER valid and negotiable documents. i.e., transfer/s documents duly completed and signed by the SELLER together with relative securities certificates with valid title, prior to placing a sale order. If for any reason whatsoever securities documents delivered by the SELLER turns out to be forged, invalid, worn out, torn or defaced, the defaulting SELLER shall be liable to his BROKER for any loss or damage sustained or incurred. The defaulting SELLER shall be liable to replace such securities along with all benefits attributable to such securities within two days of reporting in writing to the SELLER by the BROKER. If for any reason the defaulting SELLER fails to replace such securities along with all benefits attributable to such securities within two days of reporting in writing to the SELLER by the BROKER, The BROKER shall have the absolute discretion to square-up the transaction commencing from the market day after the stipulated period (as above), at the SELLERS risk and the SELLER shall be liable to the BROKER for any loss or damage sustained or Incurred.

PAYMENT TO SELLER

The BROKER shall make payment to the SELLER on the settlement day, subject to the overall cash balance of the Seller's "Securities Account"

PAYMENT BY BUYER

The BUYER shall pay his BROKER on or before the settlement day balance amount (if any) including charges of all securities purchased by him during the period of dealing for that settlement. If the BUYER defaults for whatever reason, he shall be liable to his BROKER for all loss or damage sustained or incurred. In addition, to adjust the out standing amount, the buying BROKER shall have the absolute discretion, to resell commencing from the market day after the day to settlement, the securities at the BUYER'S risk and the BUYER shall be liable to the buying BROKER for any loss or damage sustained incurred.

SETTLEMENT THROUGH CDBL

If the CDBL is involved in the settlement process client should follow the under mention rules.

Client must maintain a Beneficiary Owner account with any depository participant, and client must inform the broker his BO account number with authentic document.

Before place any sell order client must transfer his shares from his BO account to broker clearing account with related instruction.

Client will pay the charges of CDBL, if necessary to transfer the shares from client BO account to broker clearing account and clearing account to BO account

Broker reserve the absolute right to deduct the charges at source where applicable related to client CDBL operation. Client will be liable for any losses or damages occurred due to wrong or incorrect information related to CDBL is given by the client.

GOVERNING LAW

All transactions shall be subject to the rules and regulations, of the Securities and Exchange Commission/ Dhaka Stock Exchange Limited, Chittagong Stock Exchange Limited, CDBL and other prevailing laws and regulations of Bangladesh and in particular the authority here in after granted by the Client to the BROKER.

AUTHORITY OF THE BROKER

The broker reserves the absolute right for sale/buy make adjustment/transfer any at client's risk in order to set of all losses, damages and debit amount/shares/securities of "Client Account"

Clients shall be bound to pay----- %(inword-----) charges as brokerage to broker for buy and sell and broker can change time to time.

Client shall be bound to furnish such other particulars, documents and or information that may reasonably require from time to time. Broker shall have the right to change /modify any terms/conditions when may deem necessary without any notice to the client. We hereby accept your above terms and conditions and we declare that the information given is true and correct.

Signature of Customer : 1

2

Witness : 1. Signature :

2. Signature:

Name :

Name :

Address :

Address :

FOR OFFICE USE ONLY :

Introduced by : Approved by :

Signature : Signature :

Name : Name :

MARGIN TRADING AGREEMENT

THIS AGREEMENT made this Day of 20 of the Christian Era.

BETWEEN

DYNASTY SECURITIES LIMITED, a Private Limited Company incorporated in Bangladesh under the Companies Act, 1994 having its Head Office at Century Centre 8th Floor (East Side), Kha-225, Merul Badda, Progoti Sharani, Dhaka-1212 (here in after referred to as the "BROKER" which term shall unless excluded by or repugnant to the context be deemed to include its successors-in- office, legal representatives and assigns) of the one part;

AND

1. Mr/Mrs
2. Mr/Mrs.....
S/O/W/O.....
S/O/W/O.....
Of 1.....
2.....

(here in after referred to as the "CLIENT" which term shall unless excluded by or repugnant to the context be deemed to include his/her successor(s), legal representatives and assigns) of the other part.

WHEREAS :

- a. The BROKER has been providing stock broking facilities, CDBL services and offering credit facilities to its customers;
- b. The CLIENT has approached BROKER for credit facilities for the purchase of Securities listed with the DHAKA STOCK EXCHANGE LIMITED (DSE) and CHITTAGONG STOCK EXCHANGE LIMITED (CSE) and deposit/transfer Cash/Securities in the CLIENT's name in Account No..... and the "BENEFICIARY OWNER ACCOUNT" here in after referred to as "BO Account" No..... with the "CENTRAL DEPOSITORY BANGLADESH LIMITED" here in after referred to as "CDBL";
- c. The BROKER has agreed to extend credit facilities to the CLIENT for the aforesaid purpose on the terms and conditions here in after stated and both the parties wish to enter into a Margin Trading Agreement (here in after referred to as "the agreement") for conducting Margin Trading in Bangladesh.

NOW THIS AGREEMENT WITNESSETH AS FOLLOWS : RULES AND PROCEDURES REGULATING MARGIN TRADING

- * "**BROKER**" means DYNASTY SECURITIES LIMITED and includes its successors and interest.
- * "**CLIENT**" means applicant named in the application form and includes its successors and interest.
- * "**SECURITIES ACCOUNT**" shall mean the account opened by the CLIENT with BROKER to deposit/deliver/sell/buy securities.
- * "**APPROVED SECURITIES**" shall mean the securities approved by the BROKER to purchase into the SECURITIES ACCOUNT against Margin Loan.
- * "**PORTFOLIO VALUE**" means total market value of all the stocks/Debentures/Bonds held in CLIENT's designated account.
- * "**MARGIN CALL**" shall mean a request to the "CLIENT" to deposit money or shares to bring the Debit Balance equal to or below 50% of the Portfolio Value within 24 hours.
- * "**DEBIT BALANCE**" shall mean the total value of cash owed by the CLIENT together with any interest, brokerage, CDBL charges and any other charges to the BROKER in the SECURITIES ACCOUNT for securities transacted into the account.

2. THE CLIENT SHALL OPEN THE FOLLOWING TWO TYPES OF ACCOUNTS WITH BROKER.

a. SECURITIES ACCOUNT

The CLIENT shall open a Securities Account with BROKER to transact shares through it in his/her/its name.

b. BENEFICIARY OWNER (BO) ACCOUNT

- i) The CLIENT will open a separate BO Account through CDBL in his/her/its own name.
- ii). The CLIENT shall be abide by the rules and regulations of the DSE&CSE, SEC and CDBL

3. Methodology

- i) The CLIENT would be required to submit an application to the Broker/Dealer for the Margin Trade Facility.
- ii) The CLIENT (In case of Company/Trust) shall be allowed to commence Margin Trading only when it has submitted to the BROKER copies of the relevant documents (i. e. certified copy of Trust Deed or Certificate of Incorporation along with Memorandum and Articles of Association) and a resolution which authorizes that specific entity and its representatives on behalf of the client to conduct Margin Trading.
- iii) The facility granted under this account shall be a short term facility and shall be valid at the sole discretion of the Broker and repayable on demand of the Broker.
- iv) The CLIENT contribution of Share or Cash or both will be deposited to the Securities Account/BO Account. The value of shares shall be calculated on a daily basis as per day's closing price of DSE.
- v) The Broker will have absolute discretion to accept or decline any security against which credit facility is granted and shall reserve the right to make changes to their list of "Approved Securities" at any given moment.
- vi) Only "Approved Securities" may be used as collateral for Margin Trading. A list of the "Approved Securities", to be updated from time to time, will be available with BROKER.
- vii) When a previously approved share is later disqualified for inclusion, it will be excluded from all subsequent margin calculations.
- viii) In the case of BROKER omitting a particular Securities/securities from the list of "approved securities", the CLIENT shall accordingly adjust the "Portfolio value" or the "Debit balance" within five market days. Provided that in the event of suspension of any Securities by the SEC, DSE or CSE, BROKER will be excluded from the calculation of the portfolio value at any time.
- ix) **The CLIENT may, using the credit facility, purchase approved securities through BROKER into the "Securities Account" to a maximum value of Portfolio approved by the regulatory bodies as The CLIENT shall at all times be responsible to maintain strictly the maximum limit of the "Debit Balance" at any given time.**
- x) **If Debit balance goes above rate determined by the management due to fluctuation in the market or any other event, BROKER will issue a "Margin Call" to the CLIENT. Margin Call may be either in writing or via telephone call.**
- xi) **If the CLIENT doesn't respond to Margin Call, BROKER will than sell out whatever shares as it appears fit to regularize the "Debit balance" reaches to maximum Limit to (+/- 5% deviation is accepted in case of adjustment) and the broker/dealer may act upon as the Attorney of the CLIENT in accordance with the terms thereof and in terms of the margin rules.**
- xii) **If the "Debit balance" reaches to rate determined by management from time to time due to a sudden fall in the market or for any other event, BROKER will sell out shares of any company whatsoever as it thinks fit to make the Debit Balance determined by management without any margin call or notice whatsoever. Any residual shares will remain in the account and will be available to CLIENT.**
- xiii) If the CLIENT exceeds the value of the "Debit Balance" above the stipulated limit and/or violates any other terms and conditions /rules/requirements as laid down by the BROKER or any regulatory authority from time to time, the BROKER shall have absolute discretion at the "CLIENT risk to sell/buy any or all shares or securities of any Company whatsoever in the CLIENT "Securities Account" without any consent of any form from the CLIENT in order to adjust the Debit Balance of the " Securities Account". In the event the Portfolio value of the "Securities Account" becomes inadequate to regularize the "Debit Balance", the CLIENT shall be under obligation to make cash payment to settle any shortfall.
- xiv) The CLIENT shall pay brokerage commission for all transactions i.e. buy and sell in the "Securities Account". The CLIENT shall also pay brokerage commission for all transactions carried out in order to regularize the "Securities Account". Rate of brokerage will be fixed by the BROKER and can be changed from time to time.
- XV) Broker shall have the authority to give notice to the CLIENT to adjust the debit balance in Securities Account within 20 (Twenty) trading days for whatever reason with the right to divulge the reasons to the CLIENT. If the CLIENT doesn't respond to such notice BROKER shall than have absolute discretion at the CLIENT risk to sell/buy any or all shares or securities of any Company whatsoever in the CLIENT "Securities Account" without any consent of any form from the CLIENT in order to adjust the Debit Balance of the Securities Account.
- xvi) Broker will charge CLIENT account for any debit amount, calculated on a daily basis to be accounted for at the end of the calendar month. Broker shall advice the interest rate to the CLIENT from time to time. Broker reserves the right to increase/decrease the interest rate by giving 7 (Seven) trading days notice to the CLIENT.
- xvii) The Securities Account will be fully operated (All Buy/Sell decisions) by the CLIENT at all times other than in the instance of Brokerage regularizing the account as are explained in xi, xii, xiii, xiv ,xv of clause 3 hereof.

- xviii) Trading will take place in the same way as the normal accounts i.e. either by giving written order, by facsimile message or e-mail.
- xix) Interest on the Margin Trading Facility is repayable on or before the end of every calendar month by way of depositing cash or adjustment in the Securities Account.
- xx) Broker shall have the right to change, rectify, include any terms and conditions in addition to the above at any time with 7 (Seven) trading days notice to the CLIENT.

4. Securities

The shares or cash brought by the CLIENT together with shares purchased by using debit balance will be considered as collateral for the Margin Trading facility. Broker may assign such collateral with any financial institution including bank. However, the shares brought by the CLIENT to cover Margin Requirement should meet following criteria:

- a) The shares should be acceptable to the Broker
- b) The shares should be tradable in the DSE&CSE.
- c) The shares should possess reasonable liquidity.

Broker may also take additional securities as collateral from the CLIENT depending on the tradable demand of the shares offered by the CLIENT.

5. The CLIENT hereby irrevocably authorizes the Broker:

- a. to deal with all the CLIENT's securities deposited in the B.O. account with "Central Depository System"(CDS) & Securities Account from time to time independently at its sole discretion as long as the CLIENT's liabilities in the repayment of all such facilities remain outstanding.
- b. to purchase and sell any such securities of the CLIENT deposited with "CDS" and to deal or negotiate with other institution, engaged in the purchase or sale of any securities on the CLIENT's behalf and to deal with any other matters relating to the "Securities/BO Account" with or without CLIENT's instructions and the CLIENT hereby agrees that he/it shall at all times ratify and endorse such acts of the Broker. The BROKER shall not be liable for any loss caused to the CLIENT directly or indirectly resulting from any such act of commission or omission.
- c. to debit the "Securities Account" for any sums or sum of money being purchased price of margin able securities, brokers' commissions, payments for rights and benefits in respect of marginal securities, transaction fee, interest, CDBL charges or for any payment whatsoever.
- d. to issue pay-orders, Bank drafts or transfer of funds by any means to any person or persons and for many amount in respect of any transaction pertaining to the business averred herein.
- e. to deposit cash, cheque or any other instruments into the "Securities Account"
- f. to debit the CLIENT Securities account for interest, brokerage, CDBL Shares, and other service charges, if any.

6. Indemnity

- a) The CLIENT shall indemnify the BROKER in full and keep indemnified, save and harmless against any losses, suits, claims and damages that the BROKER shall or may be caused, sustained, incurred or suffered by any reason in maintaining the CLIENT's accounts and using the Margin Trading Facilities in any manner whatsoever and/or acting on the instructions or requests, advices made or forwarded by way of telephone or Facsimile and electronic messages.

7. Power of Attorney

The CLIENT hereby gives irrevocable power of attorney to BROKER to operate its/his/her B.O. account and Securities Account to exercise any of the powers as stated in xi, xii, xiii, xiv ,xv of clause 3 hereof.

8. The BROKER agrees with the CLIENT as follows:

- a) BROKER shall act directly as the agent of the CLIENT in respect of all dealings of margin Approved Securities and the CLIENT shall remain principally liable for all dealings, negotiations and transactions entered into by the BROKER on behalf of the CLIENT

- b) Both the BROKER and CLIENT shall have the right at any time in their absolute discretion and without having reason therefore to close the "Securities Account" by giving one month prior notice of closure to the CLIENT and any debit of the CLIENT "Securities Account (if any) will be adjusted.
- c) This agreement shall be binding on the parties, their successors, heirs, executors, administrators, assigns, or legal representatives.
- d) The terms and conditions shall not be altered, amended or modified except in writing by the duly authorized representatives of each party hereto.
- e) Where the CLIENT is in default of payment of legal fees, stamp duty or other out of pocket expenses incurred by the BROKER, the BROKER shall have the right and discretion to recover such expenses from the CLIENT by debiting the Securities Account.

9. Client Statement -at each month and the broker will provide the client with a printed statement of their Securities Account detailing the number of shares held and the debit balance if any.

10. Nominee

In the event of the death of one of the joint account holders, the survivor and on the death of sole account holder the nominee or successor shall be the beneficiary of the transactions. This agreement shall be governed and construed by the laws of the Peoples Republic of Bangladesh.

IN WITNESS WHEREOF the parties hereto have caused this indenture to be executed on the day, month and the year herein before.

Signed, sealed and delivered by **DYNASTY SECURITIES LIMITED**

Signature of the First Account Holder

Signature of Joint Account Holder

Witness : 1. Signature :

2. Signature:

Name :

Name :

Address :

Address :

FOR OFFICE USE ONLY :

Introduced by : Approved by :

Signature : Signature :

Name : Name :

Member's Representative



CDBL Bye Laws

Form 02

BO ACCOUNT OPENING FORM

(CDBL Bye Laws 7.3.3 (b))

Please Complete all details in "CAPITAL" Letters. Please fill all names correctly
 All Communication shall be sent only to the First Account Holder's correspondence Address

Application No :

Date :

D	D	M	M	Y	Y	Y	Y
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Please Tick whichever is applicable

BO Category: Regular Omnibus Clearing BO Type: Individual Joint Holder Company

Name of CDBL Participant (Up to 99 Characters) : **Dynasty Securities Ltd.**

CDBL Participant ID	BO ID	Account Opened Date								
6 3 5 0 0	1 2 0 6 3 5 0 0	<table border="1" style="display: inline-table;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y			

I/We request you to open a Depository Account in my / our name as per the following details:

Name in full of Account Holder (Up to 99 Characters) :

Short Name of Account Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr., abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms./Dr.

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(In case of a Company / Firm / Statutory Body) Name of Contact Person :

In case of individual : Male Female Occupation (30 Characters) :

Father's / Husband's Name :

Mother's Name :

3. PASSPORT DETAILS:

Passport No. : Issue Place : Issue Date : Expiry Date :

4. BANK DETAILS:

Bank Name : Branch Name : District Name :

Routing No. Account No. :

Bank Identifier (Code (BIC)) : SWIFT Code : International Bank A/C No. (IBAN) :

Electronic Dividend Credit : Yes No Tax Exemption if any : Yes No TIN / Tax ID :

5. OTHERS INFORMATION:

Residency : Resident Non Resident Nationality : Date of Birth

D	D	M	M	Y	Y	Y	Y
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Statement Cycle Code; Daily Weekly Fortnightly Monthly Other (Please Specify) :

Internal Reference No. (To be filled in by CDBL Participant) :

(In case of Company) Registration No. Date of Registration

D	D	M	M	Y	Y	Y	Y
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6. JOINT APPLICANT (SECOND ACCOUNT HOLDER):

Name in full of Account Holder (Up to 99 Characters) :

Short Name of Account Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr., abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms./Dr.

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7. ACCOUNT LINK REQUEST :

Would you like to create a link to your existing Depository Account? Yes No

If yes, then please provide the Depository BO Account Code (Last 8 Digits) :

8. NOMINEES/HEIRS :

If account holder(s) wish to nominate person(s) who will be titled to receive securities out standing in the account in the event of the death of the sole account holder/all the joint account holder's, a separate nominations Form-23 must be filled up and signed by all account holders and the nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details. If any nominee is a minor, guardian's name, address, relationship with nominee has also to be provided

9. POWER OF ATTORNEY :

If account holder(s) wish to nominate person(s) who will be titled to receive securities out standing in the account in the event of the death of the sole account holder/all the joint account holder's, a separate nominations Form-23 must be filled up and signed by all account holders and the nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details. If any nominee is a minor, guardian's name, address, relationship with nominee has also to be provided

10. TO BE FILLED IN BY THE STOCK BROKER/STOCK EXCHANGE IN CASE THE APPLICATION IS FOR OPENING A CLEARING ACCOUNT :

Exchange Name : DSE Trading ID : CSE Trading ID:.....

11. PHOTOGRAPH:

Please paste recent passport size Photograph of 1st Applicant or Authorized Signatory in case of Limited Co. only	Please paste recent passport size Photograph of 2nd Applicant or Authorized Signatory in case of Limited Co. only	Please paste recent passport size Photograph of Authorized Signatory in case of Limited Co. only
1st Applicant or Authorized Signatory in case of Ltd. Co.	2nd Applicant or Authorized Signatory in case of Ltd. Co.	Authorized Signatory in case of Ltd. Co. only

12. STANDING INSTRUCTIONS:

I/we authorize you to receive facsimile (fax) transfer instructions for delivery. Yes No

13. DECLARATION:

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/ misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of applicants/Authorized Signatories in case of Limited Co.	Signature with date
First Applicant		
Joint Applicant		
Third Signatory (Ltd. Company only)		

14. SPECIAL INSTRUCTIONS OF OPERATION OF JOINT ACCOUNT :

Either or Survivor Anyone Can Operate Any two will operate jointly
 Account will be operated bywith anyone of the others.

15. INTRODUCTION:

Introduction by an existing account holder of **Dynasty Securities Ltd.**

I confirm the identity, occupation and address of the applicant(s) :
 (Introducer's Name)

Internal Code No. :

Introducer's BO ID :

.....
 (Signature of Introducer)



Central Depository Bangladesh Limited (CDBL) Depository Account (BO Account) opened with CDBL Participant

Terms & Conditions - Bye Laws 7.3.3(c)

CDBL participant, Dhaka/ Chittagong/ Sylhet, Bangladesh

Please open a Depository Account (BO Account) in my/our names(s) on the terms and conditions set out below. In consideration of Dynasty Securities Ltd. (the "CDBL Participant") opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User Regulations 2003, and abide by the Bye Laws and Operating Instructions issued from time to time by CDBL
2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless I/we instruct the CDBL Participant to keep the Securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
4. I/we shall responsible for:
 - a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
 - b) The authenticity and genuine ness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form subsequently for dematerialization;
 - c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
 - d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for affecting any transaction/transfer;
 - e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status authorizations, mandates, nomination, signature, etc.;
 - f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnishing to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
6. Where I/we have executed a BO Account Nomination Form:
 - a) In the event of my/our death, the nominees shall receive/draw the securities held in my/our account.
 - b) In the event, the nominee so authorized remains a minor at the time of my/our death, the legal guardian is authorized to receive/draw the securities held in my/our account.
 - c) The nominee so authorized, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee pursuance of this authority shall be binding on all other persons.
7. I/we at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account may be closed by me/us in one of the following ways:

- a) By rematerialization of all existing balances in my/our account;
 - b) By transferring of all existing balances in my/our account to one or more of my/our account(s) held with any other CDBL Participant(s);
 - c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my/our other account(s) with any other CDBL participants(s);
8. CDBL Participant covenants that it shall;
- a) Act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf;
 - b) Not affect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder;
 - C) Maintain adequate audit trail of the execution of the instructions of the Account Holder;
 - D) Not honor or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:
 - i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
 - ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his / its constituted attorney available on the records of the CDBL Participant;
 - iii) The balance of clear securities available in the Account Holder's account is sufficient to honor the Account Holder's instructions.
 - e) Furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
 - f) Promptly attend to all grievances / complaints of the Account Holder and shall resolve all such grievances / complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances/complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavor to resolve the same at the earliest.
9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:
- a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
 - b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
 - c) Commits or participates in any fraud or other act of moral turpitude in his / its dealings with the CDBL Participant;
 - d) Otherwise misconducts himself in any manner.
10. Declaration and Signature
- i/we here by acknowledge that I/we have read and under stood the a foresaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

Applicants	Name of applicants/Authorized Signatories in case of Limited Company	Signature with date
First Applicant		
Joint Applicant		
Third Signatory (Ltd. Company only)		

4. PHOTOGRAPH OF POWER OF ATTORNEY HOLDER:

<p>Please paste recent passport size Photograph duly attested by Account Holders</p> <p>(POA Holder)</p>
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5. DECLARATION:

The rules and regulations of the Depository and CDBL Participant Pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by their uses as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/we further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of applicants/Authorized Signatories in case of Limited Company	Signature with date
POA Holder		
First Applicant		
Joint Applicant		
Third Signatory (Ltd. Company only)		

NOMINEE 2 :

Name in full :

Short Name of Nominee (Insert full name starting with Title i.e.Mr/Mrs./Ms./Dr., abbreviate only if over 30 characters) Title i.e.Mr/Mrs./Ms./Dr.

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Relationship with Account Holder : Percentage (%) :

Address :

City Post Code State/Division : Country :

Tel : Mobile : Fax : E-mail :

Passport No. : Issue Place : Issue Date : Expiry Date :

Residency : Resident Non Resident Nationality : Date of Birth

D	D	M	M	Y	Y	Y	Y
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GUARDIAN'S DETAILS (IF NOMINEE IS A MINOR) :

Name in full :

Short Name (Insert full name starting with Title i.e.Mr/Mrs./Ms./Dr., abbreviate only if over 30 characters) Title i.e.Mr/Mrs./Ms./Dr.

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Relationship with Nominee : Date of Birth of Minor

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 Maturity date of Minor

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Address :

City Post Code State/Division : Country :

Tel : Mobile : Fax : E-mail :

Passport No. : Issue Place : Issue Date : Expiry Date :

Residency : Resident Non Resident Nationality : Date of Birth

D	D	M	M	Y	Y	Y	Y
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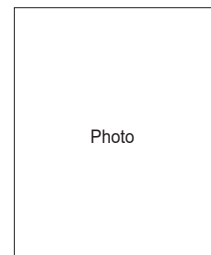
2. PHOTOGRAPH OF NOMINEES/HEIRS:

<p>Please paste recent passport size Photograph duly attested by Account Holders</p>	<p>Please paste recent passport size Photograph duly attested by Account Holders</p>	<p>Please paste recent passport size Photograph duly attested by Account Holders</p>	<p>Please paste recent passport size Photograph duly attested by Account Holders</p>
Nominee / Heir - 1	Nominee / Heir - 1	Guardian - 1	Guardian - 2

Applicants	Name of applicants/Authorized	Signature with date
Nominee / Heir - 1		
Nominee / Heir - 2		
Guardian - 1		
Guardian - 2		
First Applicant		
Joint Applicant		

TO

Managing Director & CEO
DYNASTY SECURITIES LTD.
DSE TREC # 278, CSE TREC #157
Century Centre, 8th Floor (East side)
Kha-225, Merul Badda, Progoti Sharani, Dhaka-1212



Photo

Photograph of Authorize Person

Dear Sir

LETTER OF AUTHORISATION

I/We do/so/wo of

hereby authorize Mr./Mrs do/so/wo of

..... whose specimen signature is given below (hereinafter referred to as the "Account Operator") to exclusively deal, buy, sell, transfer shares, debenture stocks, bonds and other securities on behalf of me/us with regard to the "Securities Account" opened and maintained in my name with DYNASTY SECURITIES LIMITED submitted (there in after referred to as the "Broker")

I hereby authorize and instruct the "Broker" to deal, buy, sell, transfer shares, stocks, debentures, debenture stocks bonds and other securities on verbal and/or written instructions of the "Account Operator"

I also authorize the "Account or operator" to place buy/sell orders. Receive confirmation notes, receive and deliver Cheques/cash and shares other securities on my/our behalf with regard to my/our "Securities Account".

Thereby declare that I/we am full aware of all consequences of transaction that may be carried out on my/our behalf by the "Account operator" and shall take responsibility of all such transaction as that of my/our own. I/we shall fulfill and abide by all rules and regulation described in the "Securities Account Opening Form" duly completed and signed by me/us, with regard to all transaction carried out by the "Account Operator without any demur of protest.

Thereby undertake and ensure of make good and compensate for any loss or damage incurred and sustained by the "Broker" for any reason whatsoever as a result of any transaction carried out by the "Account Operator".

Cheque Collect Cheque Deposit Share Collect Share Deposit Portfolio Statement Collect Buy/Sell Order Slip Deposit

Thank you

Yours Sincerely

(Signature of Account Operator)

1.

1.

2.

2.

Date :

(Attested by Account Holder)

Signature of the Witnesses :

1. Signature :

2. Signature :

Full Name :

Full Name :

Address :

Address :

.....

.....

REQUIRED DOCUMENTS FOR OPENING BROKERAGE & BO ACCOUNT

For Individual/Joint Account

1.	Three copies (03) of passport size Photograph of the Account Holder/Holders. (Attested by the Introducer)		
2.	Passport size photograph of Nominee(s) attested by the A/C Holder		
3.	Passport size Photograph of Authorized Person/Power of Attorney. (Attested by the A/C Holder)		
4.	Photocopy of National ID/Passport/Driving License of the Account Holder(s)		
5.	Photocopy of National ID/Passport/Driving License of Nominee(s) of the Account Holder(s) & POA (If any)		
6.	Bank Documents e.g. Bank Certificate/Bank Statement from the concern bank of the Account Holder(s)		
7.	TIN Certificate(s) (If any)		

For Non Resident Bangladeshi (NRB)

1.	Three copies (03) of passport size Photograph of the Account Holder/Holders. (Attested by the Introducer)		
2.	Passport size photograph of Nominee(s) attested by the A/C Holder		
3.	Passport size Photograph of Authorized Person/Power of Attorney. (Attested by the A/C Holder)		
4.	Photocopy of valid Passport including legal permission		
5.	Service Agreement with the Employer/Salary Statement/Pay Slip		
6.	Bank Certificate as a Foreign Currency (FC) Account from the concern bank of the Account Holder(s)		
7.	TIN Certificate(s) (If any)		

Company Account

1.	Three copies (03) of passport size photograph of the Managing Director/CEO/Head of Concern Department (Attested by the Introducer)		
2.	Passport size photograph of Authorized Person(s)/Power of Attorney(s). (Attested by the Managing Director/CEO/Head of Concern Department)		
3.	Photocopy of National ID/Passport/Driving License of Managing Director/CEO/Head of Concern Department and Authorized person(s)/Power of Attorney(s)		
4.	Trade License of the company		
5.	Board Resolution regarding Opening Brokerage and BO Account & Memorandum and Article of Association		
6.	TIN Certificate of the Company		
7.	List of Authorized Signatories to operate the account		
8.	Bank Certificate/Bank Statement from the concern Bank		

Sole Proprietorship Account

1.	Three copies (03) of passport size photograph of the Proprietor (Attested by the Introducer)		
2.	Passport size photograph of Authorized Person(s)/Power of Attorney(s). (Attested by the Proprietor)		
3.	Photocopy of National ID/Passport/Driving License of the Proprietor and Authorized person(s)/Power of Attorney(s)		
4.	Trade License of the company		
5.	Deed of Agreement/ Firm Registration Certificate		
6.	TIN Certificate of the Company		
7.	Bank Certificate/Bank Statement from the concern Bank		